

PTO/SB/17 (11-04)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 2,210.00**Complete if Known**

Application Number	10/771,732
Filing Date	February 4, 2004
First Named Inventor	Ralph Herzog
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	N6613.0001

**METHOD OF PAYMENT** (check all that apply)

<input type="checkbox"/> Check	<input checked="" type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order
<input type="checkbox"/> Deposit Account	<input type="checkbox"/> None	
Deposit Account Number	50-2215	
Deposit Account Name	Dickstein Shapiro Morin & Oshinsky LLP	

The Director is authorized to: (check all that apply)

<input type="checkbox"/> Charge fee(s) indicated below
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17
<input checked="" type="checkbox"/> Credit any overpayments

To the above-identified deposit account.

☐ Other (please identify): \_\_\_\_\_**FEE CALCULATION****1. BASIC FILING FEE**

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
Utility Filing Fee	790	395	_____
Design Filing Fee	350	175	_____
Plant Filing Fee	550	275	_____
Reissue Filing Fee	790	395	_____
Provisional Filing Fee	160	80	_____
<b>Subtotal (1) \$</b>			0.00

**FEE CALCULATION** (continued)**2. EXTRA CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20	18	9
Each independent claim over 3	88	44
Multiple dependent claims	300	150
For Reissues, each claim over 20 and more than in the original patent	18	9
For Reissues, each independent claim more than in the original patent	88	44

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP= highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP= highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
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**Subtotal (2) \$** 0.00**3. OTHER FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid
1-month extension of time	110	55	_____
2-month extension of time	430	215	_____
3-month extension of time	980	490	_____
4-month extension of time	1,530	765	_____
5-month extension of time	2,080	1,040	2,080.00
Information disclosure stmt. Fee	180	180	_____
37 CFR 1.17(q) processing fee	50	50	_____
Non-English specification	130	130	_____
Notice of Appeal	340	170	_____
Filing a brief in support of appeal	340	170	_____
Request for oral hearing	300	150	_____
Other: 1051 Surcharge-Late filing fee			130.00

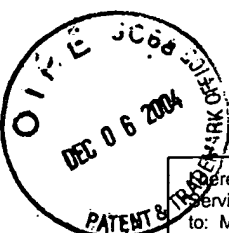
**Subtotal (3) \$** 2,210.00**SUBMITTED BY**

Signature	Registration No. (Attorney/Agent)	41,135	Telephone	(212) 896-5484
Name (Print/Type)	Richard LaCava	Date	December 6, 2004	

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 262740166 US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 12-06-04

Signature: Richard LaCava (Richard LaCava)



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Dated: 12-06-04 Signature: Richard LaCava  
(Richard LaCava)

Docket No.: N6613.0001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Ralph Herzog

Application No.: 10/771,732

Confirmation No.: 4040

Filed: February 4, 2004

Art Unit: 3637

For: DISPLAY STRUCTURE FOR GEMSTONES  
AND THE LIKE

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date  
Granted mailed May 6, 2004, Applicant respectfully submits a Combined Declaration and  
Power of Attorney and Part 2 Copy of Notice.

Please charge our Credit Card in the amount of \$130.00 covering the fee set  
forth in 37 CFR 1.16(e). Credit Card Payment Form SB-2038, with a signature from an  
authorized cardholder, is enclosed. The Director is hereby authorized to charge any  
deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or

Application No.: 10/771,732

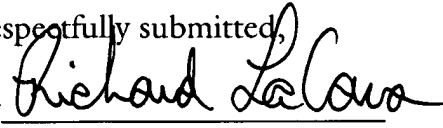
Docket No.: N6613.0001

with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. N6613.0001.

Dated: December 6, 2004

Respectfully submitted,

By



Richard LaCava

Registration No.: 41,135

DICKSTEIN SHAPIRO MORIN &  
OSHINSKY LLP

1177 Avenue of the Americas

41st Floor

New York, New York 10036-2714

(212) 835-1400

Attorney for Applicant



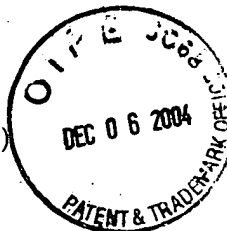
## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/771,732	02/04/2004	Ralph Herzog	N6613.0001/P0001

32172

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
 1177 AVENUE OF THE AMERICAS (6TH AVENUE)  
 41 ST FL.  
 NEW YORK, NY 10036-2714



CONFIRMATION NO. 4040

## FORMALITIES LETTER



\*OC000000012540912\*

Date Mailed: 05/06/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

12/08/2004 SZEWDIE1 00000008 10771732

01 FC:1051

130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

M. NGUYEN

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE